consultation with the ACUC shall review the reasons for suspension, take appropriate corrective action, and report that action with a full explanation to the Authorized NASA Official, NASA Headquarters. If an ACUC recommends disapproval suspension, termination, or conditional approval of an activity, the principal investigator will be given the opportunity to ask for reconsideration of the decision in person and/or in writing to the appropriate NASA ACUC.

(4) If, after notification of the Field Installation Director and an opportunity for correction, such deficiencies or deviations remain uncorrected, the ACUC will notify (in writing) the Authorized NASA Official, NASA Headquarters, who is then responsible for all corrective action to be taken.

## PART 1240—INVENTIONS AND **CONTRIBUTIONS**

#### Subpart 1—Awards for Scientific and **Technical Contributions**

Sec. 1240.100 Purpose. 1240.101 1240.102

Scope.

Criteria.

1240.103 Applications for awards.

1240.104 Special procedures—NASA NASA contractor employees.

1240.105 Review and evaluation of contribution.

1240.106 Notification by the Board.

1240.107 Reconsideration.

1240.108 Hearing procedure.

1240.109 Recommendation to the Administrator.

1240.110 Release. 1240.111 Presentation of awards.

1240.112 Financial accounting.

1240.113 Delegation of authority.

AUTHORITY: Sec. 306 of the National Aeronautics and Space Act of 1958, as amended (42 U.S.C. 2458), and the Federal Technology Transfer Act of 1986, Sec. 12, 15 U.S.C. 3710b(1).

SOURCE: 55 FR 614, Jan. 8, 1990, unless otherwise noted.

# Subpart 1—Awards for Scientific and Technical Contributions

## §1240.100 Purpose.

This subpart prescribes procedures for submitting applications for monetary awards to the Administrator of NASA for scientific and technical contributions which have significant value in the conduct of aeronautical and space activities pursuant to 42 U.S.C. 2458, and establishes the awards program consistent with the Federal Technology Transfer Act of 1986, Section 12, 15 U.S.C. 3710b(1).

#### §1240.101 Scope.

This subpart applies to any scientific or technical contribution, whether or not patentable, which is determined by the Administrator after referral to the Inventions and Contributions Board to have significant value in the conduct of aeronautical and space activities for which an application for award has been submitted to NASA under 42 U.S.C. 2458.

#### § 1240.102 Criteria.

- (a) Only those contributions to NASA which have been (1) used in a NASA program or adopted or sponsored or supported by NASA, and (2) found to have significant value in the conduct of aeronautical and space activities will be recommended for award under this subpart.
- (b) In determining the amount, terms, and conditions of any award, the following criteria will be considered:
- (1) The value of the contribution to the United States;
- (2) The aggregate amount of any sums which have been expended by the applicant for the development of such contribution:
- (3) The amount of any compensation (other than salary received for services rendered as an officer or employee of the Government) previously received by the applicant for or on account of the use of such contributions by the United States: and
- (4) Such other factors as the Administrator shall determine to be material.

#### § 1240.103 Applications for awards.

- (a) Eligibility. Applications for award may be submitted by any person including any individual, partnership, corporation, association, institution, or other entity.
- (b) Information required. Applications for award should be addressed to the Inventions and Contributions Board

#### § 1240.104

(herein referred to as the Board), National Aeronautics and Space Administration, Washington, DC 20546, and will contain:

- (1) The name and address of the applicant, the person's relationship to the contributor if the contribution is made by one other than the applicant, and the names and addresses of any others having information as to the value or usage of the contribution;
- (2) A complete written description of the contribution, in the English language, accompanied by drawings, sketches, diagrams, or photographs illustrating the nature of the contribution and the technical and scientific principles upon which it is based, any available test or performance data or observations of pertinent scientific phenomena, and the aeronautics or space application of the contribution;
- (3) The date and manner of any previous submittal of the contribution to any other United States Government agency, and the name of such agency;
- (4) The aggregate amount of any sums which have been expended by the applicant for the development of the contribution;
- (5) The nature and extent of any known use of the contribution by the United States and by any agency of the United States Government:
- (6) The amount of any compensation (other than salary received for services rendered as an officer or employee of the Government) previously received by the applicant for or on account of the use of such contribution by the United States:
- (7) Identification of any United States and foreign patents applied for or issued relating to the contribution; and
- (8) An agreement to surrender all claims which such applicant may have for the use of such contribution by the Government.
- (c) General. (1) Each contribution will be made the subject of a separate application in order that each contribution may be evaluated individually.
- (2) Material constituting a possible hazard to safety or requiring unusual storage facilities should not be submitted, and will not be accepted. Models or intricate exhibits demonstrating the contribution will not be accepted

unless specifically requested by the Board. In those few cases where such models or exhibits have been submitted pursuant to a request made by the Board, the same will be returned to the applicant upon written request from the applicant.

(3) It is the policy of the Board to use or disclose information contained in applications for awards for evaluation purposes only. Applications for awards submitted with restrictive legends or statements differing from this policy will be treated in accordance with the Board's policy.

# § 1240.104 Special procedures—NASA and NASA contractor employees.

- (a) A NASA Headquarters office, a NASA field installation, or a NASA contractor may submit to the Board an application for an award identifying the originator(s) of any scientific or technical contribution conceived or developed during the performance of a NASA program or contract, and which is considered to be of value in advancing the state of knowledge in space or aeronautical activities, whether or not the contribution is the subject of a NASA Tech Brief or of a U.S. patent application.
- (b) When the Board receives written notice (NASA Form 1548) that the Associate General Counsel for Intellectual Property at NASA Headquarters or the cognizant Patent Counsel at a NASA field installation has authorized the filing of a U.S. patent application for an invention made and reported by an employee of NASA or an employee of a NASA contractor, the Board will recommend to the Administrator or a designee that an initial award of at least \$500 be granted to a sole inventor, and an award in the amount of at least \$250 will normally be granted to each of joint inventors. The Board is authorized to recommend a supplemental monetary award in an amount that will be based on the evaluation of the technical and commercial merits of the invention.
- (c) When the Board receives written notice (NASA Form 1546) that the Technology Utilization Officer at a NASA field installation has approved for publication a selected NASA Tech Brief based on an innovation made and